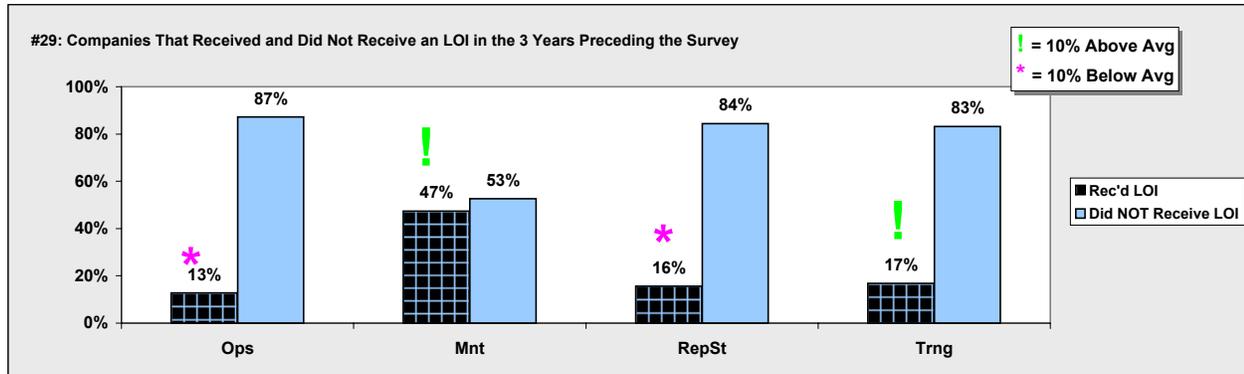


Section 4: Compliance & Enforcement

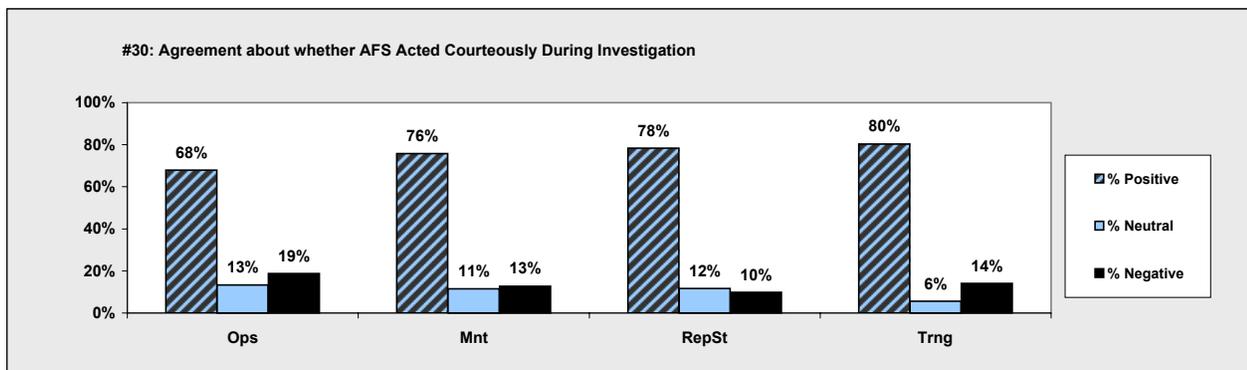
Has your company received a Letter of Investigation any time in the past 3 years?

#29		Unanswered or Blank	Rec'd LOI	Did NOT Receive LOI	Total	Difference: % Yes
Ops	40	5%	13%	87%	100%	-10%
Mnt	40	6%	47%	53%	100%	24%
RepSt	39	6%	16%	84%	100%	-8%
Trng	38	9%	17%	83%	100%	-6%
AVG		7%	23%	77%	100%	0%



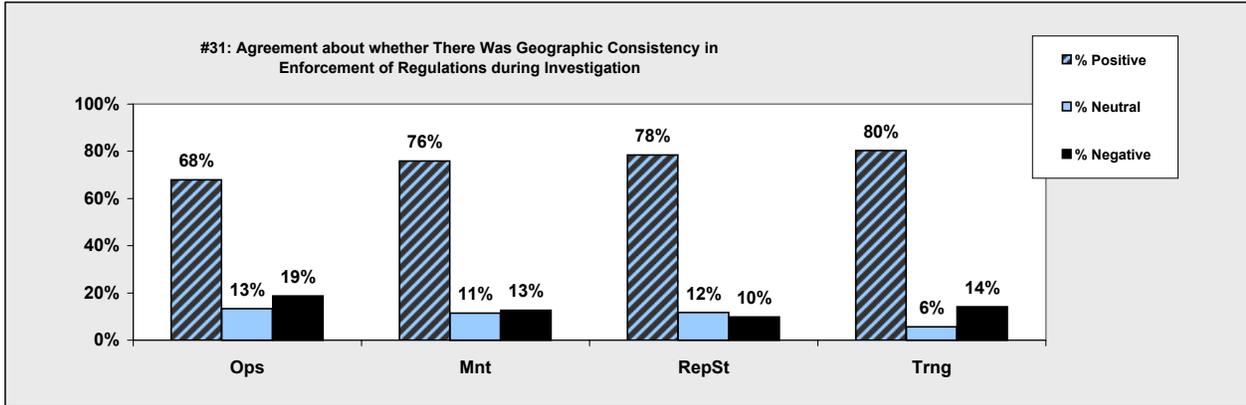
During any investigation that may have resulted, how much would you agree that AFS acted courteously?

#30		Unanswered or Blank	NA - No Invest	% Positive	% Neutral	% Negative	% Total	Difference: % Positive	Difference: % Negative
Ops	41	74%	9%	68%	13%	19%	100%	-8%	5%
Mnt	41	34%	12%	76%	11%	13%	100%	0%	-1%
RepSt	40	74%	7%	78%	12%	10%	100%	3%	-4%
Trng	39	66%	10%	80%	6%	14%	100%	5%	0%
AVG		62%	9%	76%	11%	14%	100%	0%	0%



During any investigation that may have resulted, how much would you agree that there was geographic consistency in the enforcement of the regulations?

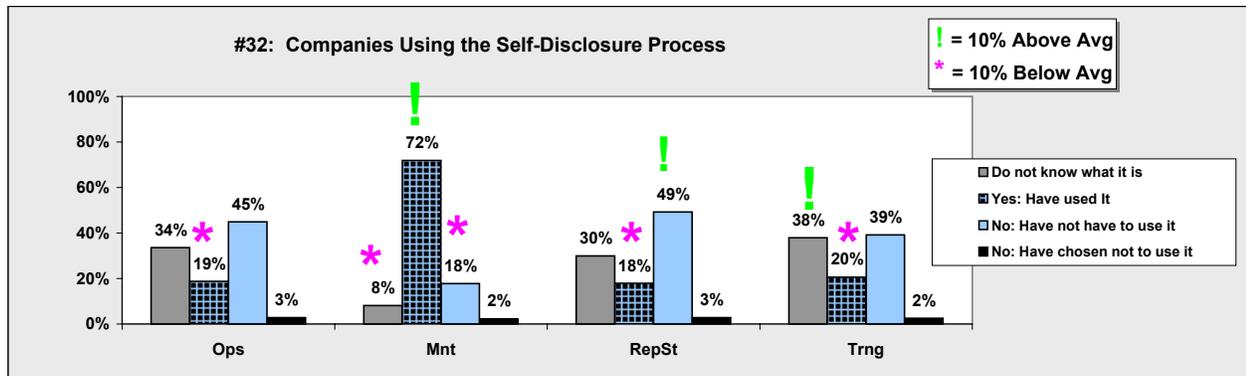
31		Unanswered or Blank	NA - No Invest	% Positive	% Neutral	% Negative	% Total	Difference: % Positive	Difference: % Negative
Ops	42	84%	6%	33%	29%	38%	100%	-5%	2%
Mnt	42	41%	13%	40%	28%	32%	100%	1%	-3%
RepSt	41	75%	7%	44%	23%	34%	100%	5%	-2%
Trng	40	68%	11%	37%	25%	37%	100%	-1%	2%
AVG		67%	9%	39%	26%	35%	100%	0%	0%



Has your company used the self-disclosure process?

32		Unanswered or Blank	Do not know what it is	Yes: Have used It	No: Have not have to use it	No: Have chosen not to use it	% Total
Ops	43	72%	34%	19%	45%	3%	100%
Mnt	43	16%	8%	72%	18%	2%	100%
RepSt	42	56%	30%	18%	49%	3%	100%
Trng	41	44%	38%	20%	39%	2%	100%
AVG		47%	27%	32%	38%	3%	100%

32		Difference: %Do not know what it is	Difference: %Yes	Difference: %No: Have not had to use it	Difference: %No: Have chosen not to use it
Ops	43	6%	-14%	7%	0%
Mnt	43	-19%	40%	-20%	0%
RepSt	42	3%	-14%	12%	0%
Trng	41	10%	-12%	1%	0%
AVG		0%	0%	0%	0%



Has the self-disclosure process worked well?

33		Unanswered or Blank	% Yes	% Mixed	% No	% Total	Difference: % Yes	Difference: % No
Ops	44	94%	72%	20%	8%	100%	-5%	0%
Mnt	44	38%	80%	15%	5%	100%	3%	-3%
RepSt	43	91%	76%	19%	5%	100%	-1%	-3%
Trng	42	89%	81%	6%	13%	100%	3%	5%
AVG		78%	77%	15%	8%	100%	0%	0%

